A Db2 Security Primer
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The goal of this presentation is to take you through a quick overview of the security capabilities offered by Db2. We will be focusing (mostly) on built-in Db2 security functionality and not complementary capabilities offered by other products nor will we be looking at other important security areas such as dealing with compliance issues.

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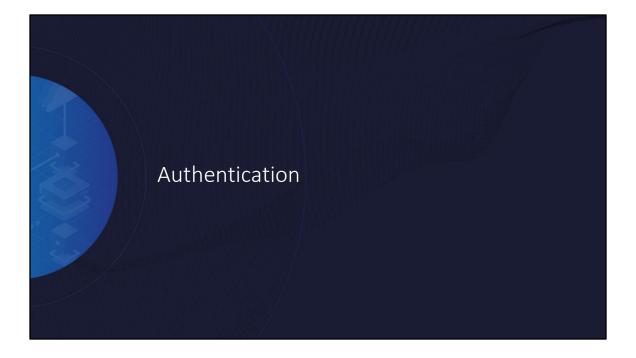
These are the 4 main areas of security from a functional perspective.

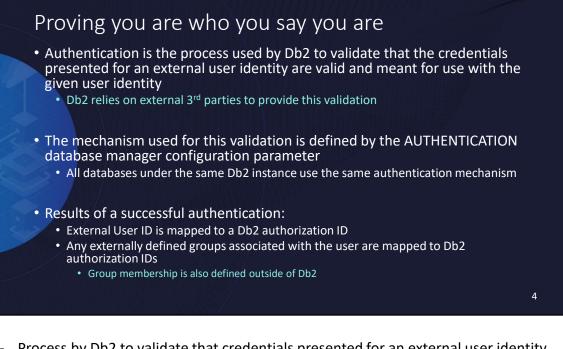
Database sec	curity landscape	
	Authentication	
	Authorization	
	Auditing	
	Encryption	
		2

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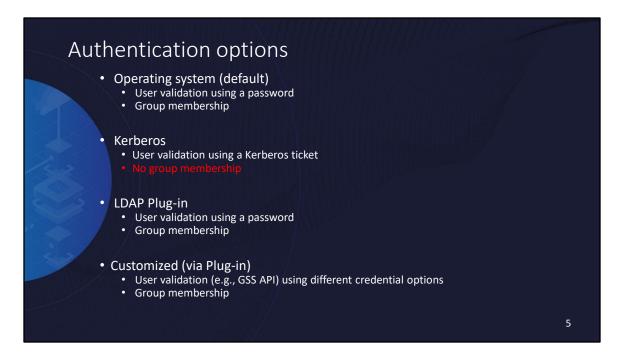
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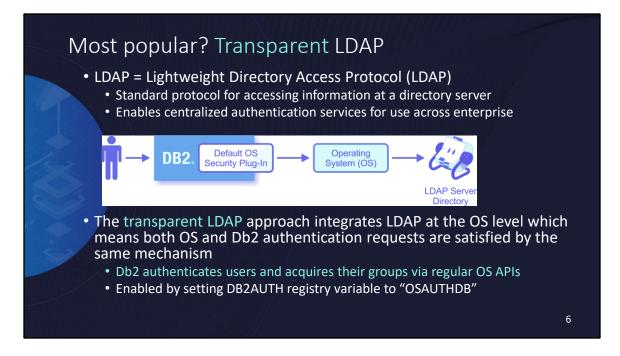




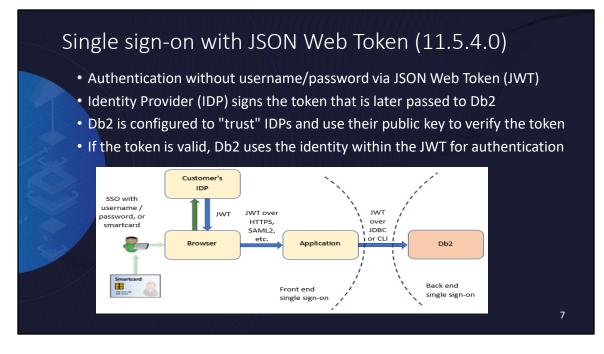
- Process by Db2 to validate that credentials presented for an external user identity are valid and meant for use with the given user identity
- Relies on 3rd parties to validate
- Mechanism defined by AUTHENTICATION database manager configuration parameter
- External User ID mapped to a Db2 authorization ID
- External groups associated with user mapped to Db2 authorization IDs



- Default plugins, LDAP plugin use username and password from operating system
- Custom plugins can use different credential options
- Kerberos uses Kerberos to authenticate
- All authentication methods can be configured to provide group membership information except for Kerberos



- LDAP = Lightweight Directory Access Protocol
- Transparent LDAP means Db2 authenticates via OS APIs



RFC 7519: a compact, URL-safe means of representing claims to be transferred between two parties

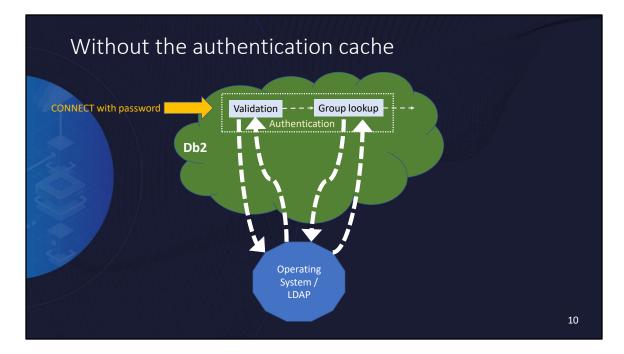
Db2 does not generate JWTs. IDP example: Gluu, Knox SSO

New srvcon_auth types: SERVER_ENCRYPT_TOKEN, GSSPLUGIN_TOKEN etc.

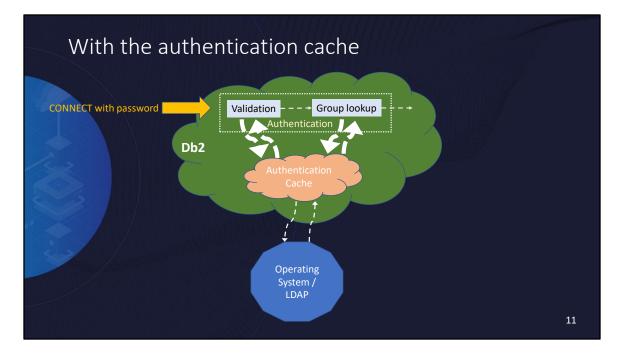
Example • Sample JWT payload	{ "name": "John Doe", "issuer": "KNOXSSO", "username": "admin", "exp": 1516239022 }	
• On CLP: CONNECT TO dbname	ACCESSTOKEN <token> ACCESSTOKENTYPE JWT</token>	
dataSource.setAcces	sToken(" <token>"); sTokenType("JWT");</token>	
Connection conn = d	ataSource.getConnection();	8

Support for: CLP, CLI, JDBC .NET, Embedded SQL, CLI derived language support (e.g. Python, Ruby)

Authentication cache (11.5.3.0) Introduced to help improve performance for password-based authentication in the following scenarios: Connections are of extremely short duration Authentication "pipeline" gets overwhelmed Cache contains the results of successful authentication efforts and group lookup results Results are only kept for limited amount of time (configurable) Cache size is configurable by number of unique user IDs to be cached When enabled, incoming requests (and associated credentials) are compared to cached entries and, if match found, further authentication processing is bypassed



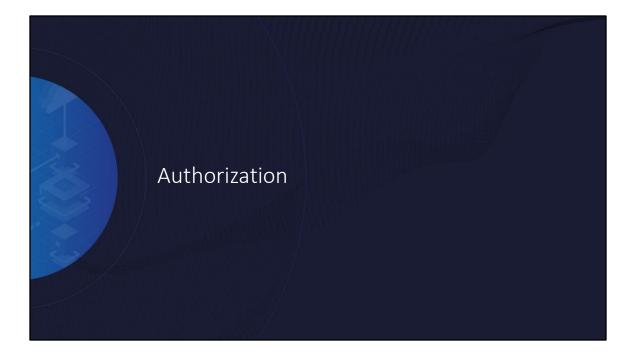
Validation and Group Lookup both have to go back to the OS



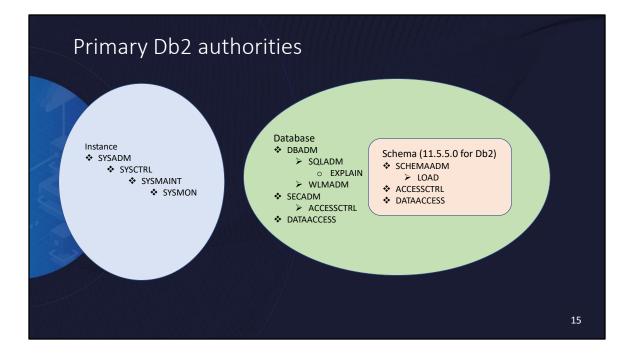
Look in authentication cache first If not found, query the OS

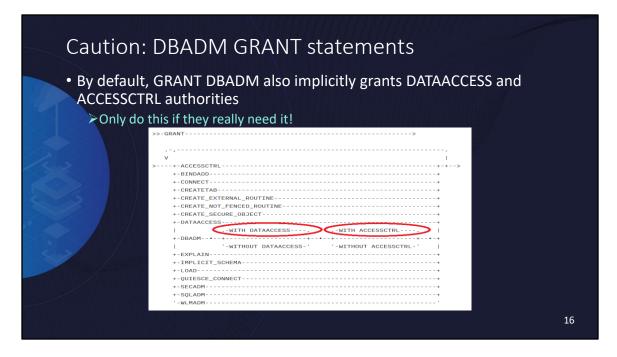
Database security land	scape
OS LDAP	entication Kerberos JWT Customized
A	uditing
En	cryption 12

As a reminder, the "peach" coloured boxes indicate something new or enhanced in Db2 11.5.





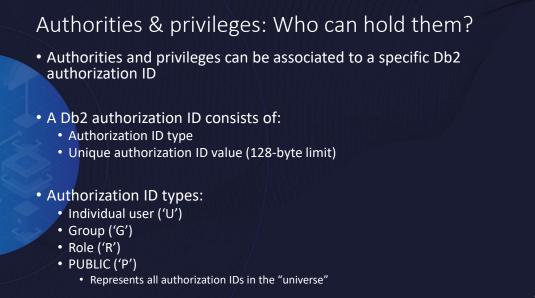




Although DATAACCESS and ACCESSCTRL are intended to be independent "peer" authorities for DBADM, historically they have not been. DBADM used to be everything... When we separated out the major database authorities in DB2 9.7 (e.g. SYSADM no long held DBADM, DBADM and SECADM were co-owners of database, DBADM authority did not mean DATAACCESS and ACCESSCTRL), we decided to minimize the shock to operations on existing databases by continuing to grant these 2 authorities with the DBADM authority by default. In other words, you have to opt=out of the traditional behaviour.

	Additiona	al schema level privileges (11.5.5.0 for Db2)	
-	SELECTIN	Gives the ability to retrieve rows from all existing and <i>future</i> tables or views defined in the schema	
2	INSERTIN	Gives the ability to insert rows and to run the IMPORT utility on all existing and <i>future</i> tables or views defined in the schema	
	UPDATEIN	Gives the ability to use the UPDATE statement on all existing and <i>future</i> tables or updatable views defined in the schema	
	DELETEIN	Gives the ability to delete rows from all existing and <i>future</i> tables or updatable views defined in the schema	
	EXECUTEIN	Gives the ability run all existing and <i>future</i> user-defined functions, methods, procedures, packages, or modules defined in the schema	
			17

These new privileges are in addition to the existing CREATEIN, ALTERIN, and DROPIN schema privileges.



How the different authorization ID types interact

- Authorization processing considers both a primary authorization ID and secondary authorization IDs associated with the primary ID
 - Specific IDs considered are determined by the context

Primary authorization ID

- Used to record "who" performed the action
- Represents an individual user ID ('U')

Secondary authorization ID

- One or more authorization IDs associated with the primary authorization ID
- Used to supplement the primary ID's privileges where allowed by Db2
- Represents groups, database roles, and/or PUBLIC

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Common Db2 authorization ID terminology

SYSTEM AUTHORIZATION ID

Primary authorization ID (and associated secondary authorization IDs) used to establish the current session and is checked for CONNECT privilege

SESSION AUTHORIZATION ID

- Primary authorization ID (and associated secondary authorization IDs) used for any session authorization checking after CONNECT processing. > The value of the SESSION AUTHORIZATION ID is controlled by the authentication logic but is typically the same value as the SYSTEM AUTHORIZATION ID

STATEMENT AUTHORIZATION ID

- Primary authorization ID (and associated secondary authorization IDs) used for any authorization requirements of an SQL statement
 - Also used to determine object ownership (for DDL where appropriate).
- Can vary depending on the type of SQL statement and the context in which the statement is issued
 - Different sources for dynamic SQL versus static SQL and different options available for routine or non-routine context



Temporary access to authorities and privileges

Inheritance

- A privilege on a view implicitly gives you the same access to the objects in the view definition when using the view
 - E.g., Inserting into a view, inserts into a table in the view definition
- Execute privilege on a package gives you the right to execute any static SQL in that package and inherit the package owner's privileges through that SQL
 - E.g., Executing a package with static DELETE statement, lets you delete from that object

Alternate authorization models

- Package DYNAMICRULES bind option lets you specify which authorization ID is used for embedded dynamic SQL issued by that application
 - Possible options include able to have the primary authorization ID be the Package Definer or Executor, the (associated) Routine Definer or Invoker

Trusted context

A trusted context is...

- A declaration of a "trust relationship" between the database and an external application based on a set of explicit of trust attributes:
 - System authorization ID
 - IP address
 - Level of communication security
- A connection that matches the trust attributes for a defined trusted context is called a trusted connection. There are 2 types:
 - An implicit trusted connection
 - An explicit trusted connection
- An implicit trusted connection allows a user to inherit a role that is not available to them outside the scope of that trusted connection
 - The session authorization ID of the connection is given "temporary" membership to a role declared in the trusted context definition

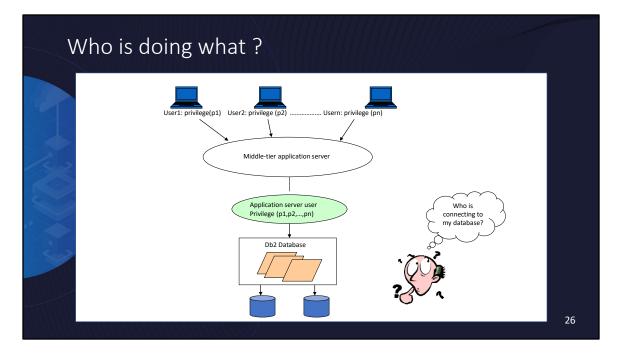
Implicit trus	sted connection:	Role inheritance	
9.26.52.193 User: Miller	User Miller can connect (via CONROLE)	Db2 Database REVOKE CONNECT FROM PUBLIC CREATE ROLE CONROLE GRANT CONNECT ON DATABASE TO ROLE CONROLE	
9.52.72.245 User: Miller	User Miller cannot connect	CREATE TRUSTED CTX1 BASED UPON CONNECTION USING SYSTEM AUTHID MILLER ATTRIBUTES (ADDRESS 9.26.52.193) DEFAULT ROLE CONROLE	
			24

In this example, we have removed CONNECT authority from PUBLIC so that no one can connect to the database by default. We then create a role and give it CONNECT authority on the database. We then define a trusted context saying that any connection established using the MILLER authorization ID from the IP address 9.26.52.193 will be implicitly trusted and will therefore gain access to the role... and thus will be able to connect to the database.

Explicit trusted connections

- An explicit trusted connection allows a trusted application server to switch, or assert, the current end-user ID on the existing connection in an efficient manner
- An application server establishes the original connection with an explicit request for trust and, once established, it can then issue requests to the database server to change the session authorization ID for any new unit of work

The ID used to do the initial connect request for the application server only needs CONNECT privilege



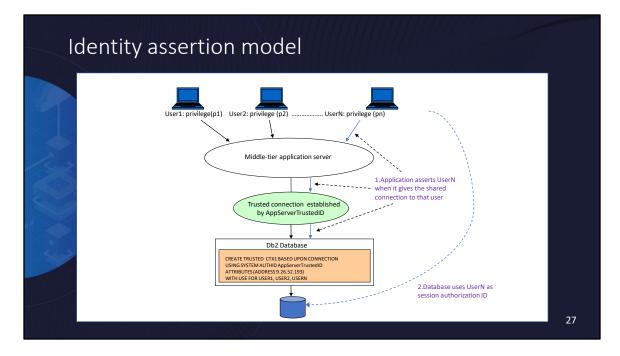
In environments where application servers have to deal with many end-user clients, it is not easy/practical to have the application server establish a separate connection to the database for each new user

- Overhead of creating a physical connection
- Overhead of user authentication

In some cases, this is not even an option as the application server may not have the end user credentials to make a connection to the database. To get around this, customers often end up using a common, shared user ID to connect to the database and to pass along the end-user database requests. The common user ID becomes a "super ID" with a union of all the privileges needed by the applications serviced by the application server.

This makes database auditing and authorization functions effectively useless

- You cannot tell the auditor who did what
- You cannot prevent one user from doing what another user can



With a trusted context:

- 1. User connects to the application
- 2. Application creates a trusted connection with the database
- 3. Application switches the user for the trusted connection to UserN
- 4. Actions can be done on the database as UserN

Audit the correct user

Authorize the correct users for different functionality

Advanced authorization controls

- Sometimes there are requirements to implement authorization controls that operate within a table object itself at the row, column, or cell level
 - Such controls are referred to as "fine grained access controls" (FGAC)
- FGAC supplement traditional authorization controls and allow security administrators to control the results sets seen by different people even when they run the same SQL statement
 Your privileges do not control what data you can see with FGAC

Db2 offers two variations of FGAC

- Label-Based Access Control (LBAC)
- Row and Column Access Control (RCAC)

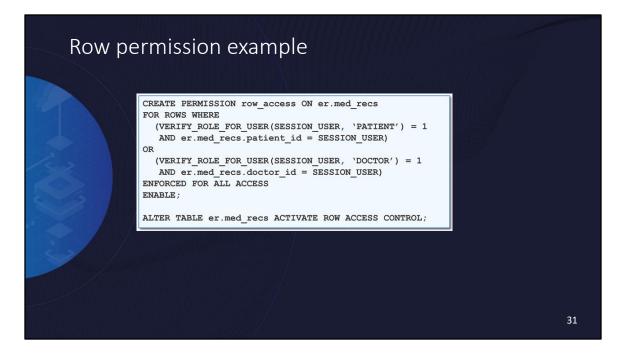


Row and Column Access Control (RCAC)

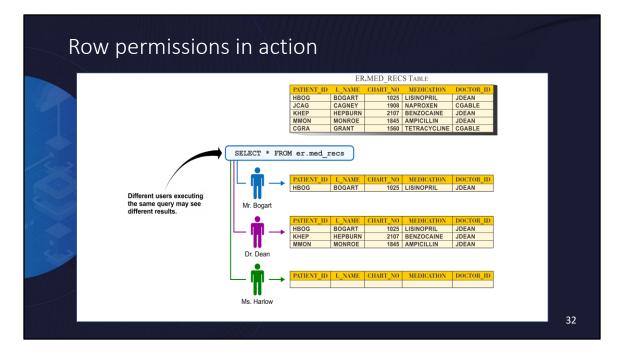
- RCAC is based on the use of simple, flexible SQL to express customer supplied rules
 - Unlike LBAC, no need to define and assign security labels and no impact on the underlying data

• RCAC consists of two components:

- Row permissions
 - An SQL search condition that describes what set of rows can be accessed
- Column masks
 - An SQL CASE expression that describes what column values are permitted to be seen and under what conditions



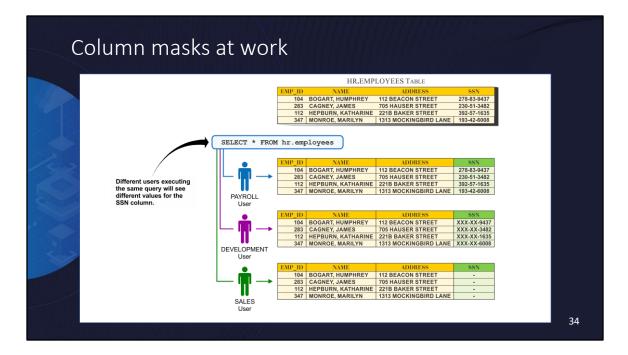
This example row permission is set to allow access to the current row if the person accessing it is a patient (determined by PATIENT role membership) looking at their own record (determined by session authorization ID) or a doctor looking at one of their own patients.



In the example shown on this slide, three different users can execute the same query, however they will see different results because of the row permission that was defined earlier.

Column mask example	
CREATE MASK ssn_mask ON hr.employees FOR COLUMN ssn_RETURN CASE WHEN VERIFY_ROLE_FOR_USER(SESSION_USER, 'PAYROLL') = 1 THEN ssn WHEN VERIFY_ROLE_FOR_USER(SESSION_USER, 'DEVELOPMENT') = 1 THEN 'XXX-XX-' SUBSTR(ssn, 8, 4) ELSE NULL END ENABLE; ALTER TABLE hr.employees ACTIVATE COLUMN ACCESS CONTROL;	
	33

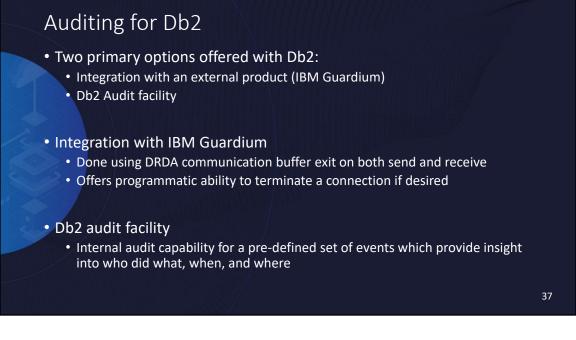
An example column mask where if you belong the PAYROLL role, you can see the entire social security number and if you belong to the development team, you can see a masked version of the number. If you are neither, you see a NULL result.



In the example shown on this slide, three different users can execute the same query, however they will see different results because of the row permission that was defined earlier.

Databas	e secu	ırity la	ndscap	e		
			Authentication	ו		
	OS	LDAP	Kerberos	JWT	Customized	
			Authorization			
	Authorities	Privileges	Instance	Database	Schema	
	Object	Roles/Groups	Authorization models	LBAC/RCAC	Trusted Contexts	
			Auditing			
			Encryption			
						35





Of course, you can also build your own audit capability using triggers or application logic.

Db2 audit facility

- Audit can be configured at both instance level and within each database
 - Separate audit log for instance and each database
- Configuration can specify desire to audit one or more of the defined event categories:
 - AUDIT: Change in audit settings or audit log access
 - CHECKING: Authorization checks
 - **OBJMAINT**: Objects created or dropped (some but not all alterations)
 - SECMAINT: Changes to security controls
 - SYSADMIN: Use of SYSADM, SYSMAINT, or SYSCTRL authority
 - VALIDATE: Authentication or access of system security information
 - CONTEXT: Shows contextual information for a database operation
 - EXECUTE: Execution of SQL statements

Granularity of database auditing

- Database audit is defined using audit policies which are then associated with specific objects using the AUDIT statement
- Audit policies can be associated with different database objects to control what is audited
 - The database itself
 - Tables
 - Authorities such as SYSADM, DBADM, and SECADM
 - Users and groups
 - Roles
 - Trusted Connections
- This granularity allows a narrowed focus to be applied on for audit
 Can result in significant reductions in the amount of audit data

The Audi	t Facility – Illustrated	
Audit Policy (Authorities)	Db2 Server Level MEMORY Db2 Server Level Audit Log Buffer Audit Log Buffer Audit Log File Database Audit Log File Database Audit Log File Database Audit Log File Database Audit Log File Database	
	Database Level Audit Policy (Database) Audit Policy (Tables) Production Database Database	40

A high-level overview of how the Db2 Audit Facility works is depicted on this slide.

Instance level

- db2audit configure writes to the audit facility configuration files configures instance level audit
- Db2audit describe shows the configuration
- Db2audit start/stop controls the instance level audit
- Logged into instance audit file

Database level

- Audit policies define what get audited in the database
- Logged into database audit file

File extraction

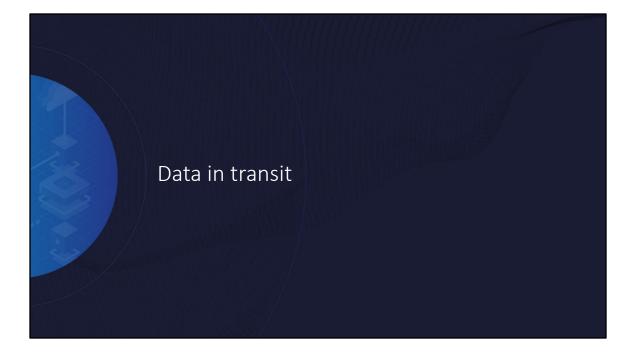
- File gets archived
- Extract into either table or somewhere else

Databas	se secu	rity la	ndsca	be		
	OS	LDAP	Kerberos	JWT	Customized	
	Authorities	Privileges	Authorizatio	Database	Schema	
15-22	Object	Roles/Groups	Authorization models	LBAC/RCAC	Trusted Contexts	
	Instance	Auditing Database Table User				
	Instance				User	
	Role	Group		Authority	Triggers	
			Encryption			
			/			
						41



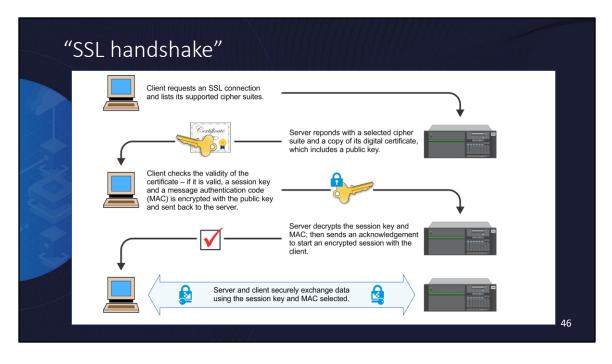
Two focus areas

- Guarding communications ightarrow Data in transit
- Guarding database storage ightarrow Data at rest



Guarding communications

- Where is it relevant?
 - Between client and server
 - Between HADR primary and standby(s)
 - Between Db2 and external products or services (e.g., security products, remote storage repositories)
- How is it done?
 - Communications are protected by encrypting the data being transmitted using a mechanism generically referred to as SSL (especially in Db2 documentation)
 - The current specific industry standard recommendation is TLS 1.3 (Db2 supports this as of 11.5.8) and TLS 1.2
 - SSL = Secure Sockets Layer
 - TLS = Transport Layer Security



How SSL Works With Db2

A client and server establish a secure SSL connection by performing an "**SSL** handshake". During an SSL handshake, a public-key algorithm, usually RSA, is used to securely exchange digital signatures and encryption keys between a client and a server. This identity and key information is used to establish a secure connection for the session between the client and the server. After the secure session is established, data transmission between the client and server is encrypted using a symmetric algorithm, such as AES.

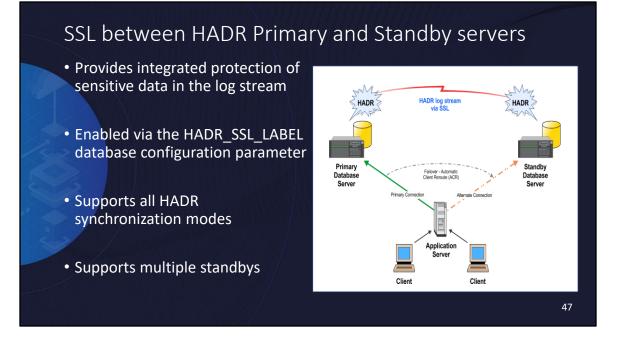
The client and server perform the following steps during the SSL handshake:

- 1. The client requests an SSL connection and lists its supported cipher suites.
- 2. The server responds with a selected cipher suite.
- 3. The server sends its digital certificate to the client.
- 4. The client verifies the validity of the server certificate, for authentication purposes. It can do this by checking with the trusted certificate authority that issued the server certificate or by checking in its own key database.
- 5. The client and server securely negotiate a session key and a message

authentication code (MAC).

6. The client and server securely exchange information using the key and MAC selected.

Note: The Db2 database system does not support the (optional) authentication of the client during the SSL handshake.



When implementing HADR in a Db2 11.1.1.1 environment, you can use SSL technology to secure TCP/IP communications and encrypt the data that transferred via log streams between the primary and the standby server—but only for non-DB2 pureScale deployments on x86 Linux platforms.

Refer to the section "**Configuring SSL for the communication between primary and standby HADR servers**" in the v11.1 DB2 LUW Knowledge Center for more information on how to use this new security capability.



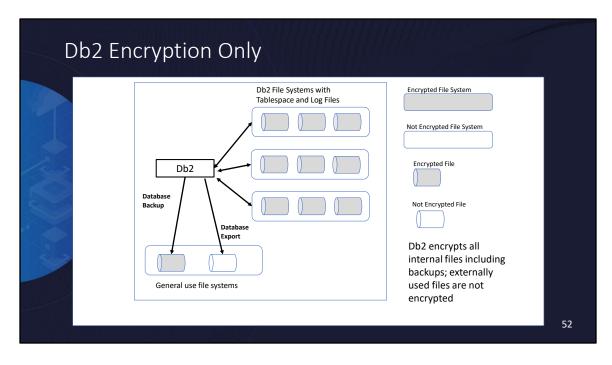


Guarding (on-disk) database storage

- Where is it relevant?
 - Files containing user data such as database files, backup images, and transaction logs
- How is it done?
 - File system encryption such as with Guardium Data Encryption (GDE)
 - Db2 native encryption

Db2 Encryption

- Db2 Native Encryption (naturally) encrypts Db2 databases only
 - Encrypts your data as it is written to disk.
 - The encryption is implemented within Db2 itself.
- Db2 encrypts all internal files including backups but externally used files are not encrypted



Database file systems with tablespaces and logs, backups all encrypted Externally used files like exported files are not encrypted

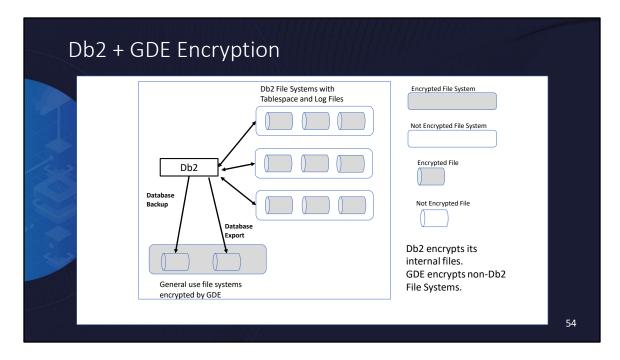
Db2 & GDE review

Similarities

- Both are FIPS 140-2 certified cryptographic libraries
- No difference in terms of encryption strength

Differences

- Db2 automatically/transparently handles encryption across all members
- Db2 works on all Db2 configurations
- Db2 encryption travels with the object (i.e., the object itself is encrypted)
- GDE allows for encryption of other databases besides Db2
- GDE applies to all files written to encrypted file system regardless



Db2 still encrypts its own files and backups Exported files now encrypted by Guardium

Db2 native encryption

- Db2 Native Encryption is built into Db2 to protect data when it is at rest
- Available in all Db2 offerings free of charge
- Automatically detects and uses CPU hardware acceleration when available
 - Intel AES-NI hardware acceleration
 - Power8 in-core support for the Advanced Encryption Standard (AES)

Highlights of Db2 Native Encryption

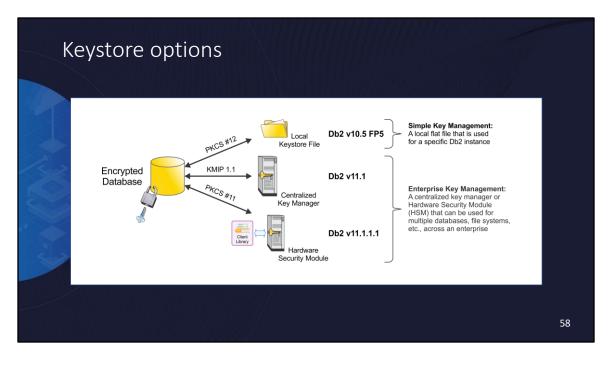
- Easy to deploy and works on all Db2 platforms
 - Transparent to applications!
 - No system administrator needed!

Industrial strength

- FIPS 140-2 certified encryption libraries
- NIST compliant use of cryptography (e.g., NIST SP 800-131)
- Secure and transparent key management
- Encrypts all critical data

Basics of key management

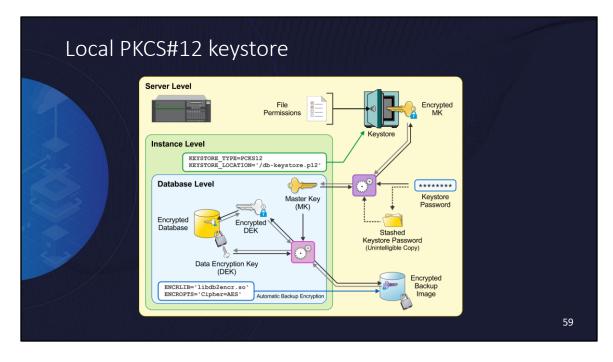
- Db2 Native Encryption uses an industry standard 2-tier model
 - Actual data is encrypted with a Data Encryption Key (DEK)
 - DEK is encrypted with a Master Key (MK)
- DEK is managed within the database while the MK is stored externally in a keystore



Db2 Native Encryption uses an industry standard, 2-tier model for key management

- Data is encrypted with a Data Encryption Key (DEK)
- The DEK is the encrypted with a Master Key (MK) and the encrypted DEK is stored within the database (or in backup images)

The Master Key (MK) is managed externally and can be stored in a PKCS #12 compliant local keystore, which is created using the IBM Global Security Kit (GSKit). Db2 V11.1 added support for KMIP 1.1 compliant centralized key managers like IBM Security Key Lifecycle Manager (ISKLM) and Safenet KeySecure. And Db2 v11.1.1.1 offers direct support for Hardware Security Modules (HSMs) – integration with Gemalto (formerly Safenet) Luna SA HSM and Thales NShield Connect+ is provided.



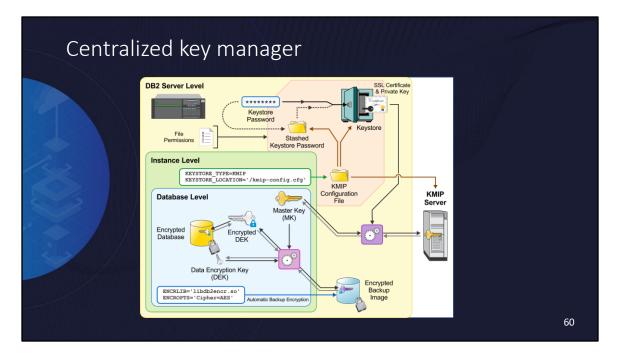
Db2 Native Encryption – Local Keystore

Db2 native encryption uses a standard two-tier model for key management—the Data Encryption Key (DEK) constitutes the first tier; a second key known as the Master Key (MK) constitutes the second. (This model is referred to as envelope encryption in the security industry.) The DEK is the actual key used to perform data encryption. The DEK is then encrypted with the MK and stored within the database (or backup image).

The MK is stored outside the database in a Public-Key Cryptography Standards (PKCS#12) compliant keystore. There are two security protection measures for your keystore. The first is file permissions. You need to make sure that only the Db2 instance owner has read/write access to the keystore. The second is encryption of the actual content of the keystore. You need to make sure you create your keystore with the password option. The content of the keystore (i.e., your master keys) is encrypted using a symmetric key derived from that password using a hashing algorithm. Without the password, the content of the keystore cannot be decrypted.

You have the option to stash or not stash the password. Stashing the password is

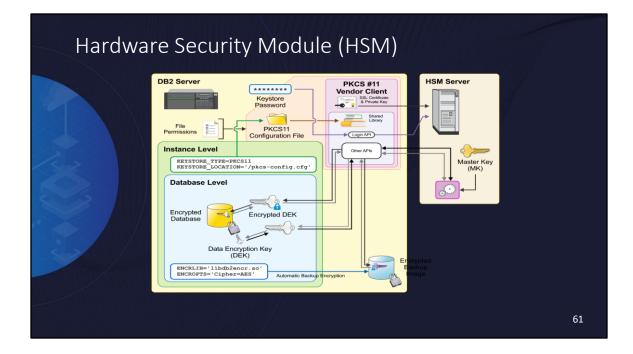
good for secure production environments where you need your Db2 instance to be able to start without human intervention. You can also choose not to stash the password and provide it only as needed when starting your Db2 instance. This is possible through the new open keystore option of the **Db2start** command. Db2 native encryption also allows you to rotate your MK—you rotate your database MK by calling the **ADMIN_ROTATE_MASTER_KEY** procedure. This procedure decrypts the database DEK with the old MK and then re-encrypts it with the new MK. You have 2 options when calling the **ADMIN_ROTATE_MASTER_KEY** procedure: you can either provide a label for the desired new MK or use the default. When using the default, Db2 automatically generates a new MK and adds it to the keystore on your behalf. Then, it rotates the current database MK to this newly generated MK.



Db2 Native Encryption – Centralized Key Manager

Beginning with Db2 v11.1, native encryption can utilize an enterprise key management system, which is a dedicated server for centrally managing encrypting keys across the enterprise. Some of the more common enterprise key management systems include IBM Security Key Lifecycle Manager (ISKLM), Safenet, and KeySecure.

The Key Management Interoperability Protocol (**KMIP**) is a communication protocol that defines message formats for the manipulation of cryptographic keys on a key management server.



Considering encryption?

• Encryption is not something to rush into as it has implications for availability, operations, and performance!

• Availability:

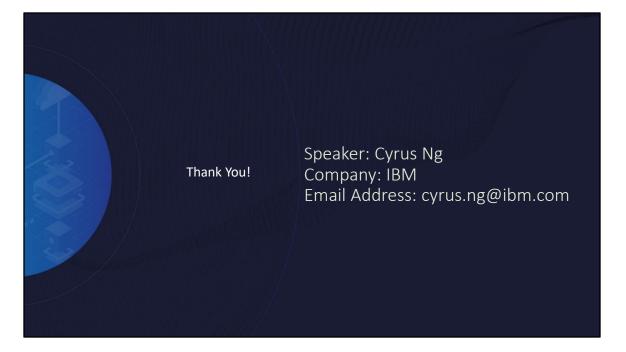
- https://www.ibm.com/docs/en/db2/11.5?topic=considerations-keystore-availabilityrecoverability
- Keystore availability issues now become data availability issues

• Operations:

https://www.ibm.com/docs/en/db2/11.5?topic=considerations-impact-encryption-databaseoperations

• Performance:

- <u>https://www.ibm.com/docs/en/db2/11.5?topic=considerations-impact-encryption-performance</u>
- CPU hardware acceleration is critical
- > You should plan on completely re-tuning a newly encrypted system



Mitchell has been a member of the Db2 security development team since 2016, where he has worked on all aspects of security within Db2, including authentication, authorization, auditing and encryption.